

## Exhibit 300: Capital Asset Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview & Summary Information

**Date Investment First Submitted:** 2011-07-15  
**Date of Last Change to Activities:** 2012-03-01  
**Investment Auto Submission Date:** 2012-02-29  
**Date of Last Investment Detail Update:** 2012-03-01  
**Date of Last Exhibit 300A Update:** 2012-03-01  
**Date of Last Revision:** 2012-03-01

**Agency:** 009 - Department of Health and Human Services  
Medicaid Services

**Bureau:** 38 - Centers for Medicare and

**Investment Part Code:** 01

**Investment Category:** 48 - Multi-agency collaboration

**1. Name of this Investment:** ACA Consolidated IT Investment (formerly CMS CCIIO Healthcare Insurance Exchange IT Investment (HIX))

**2. Unique Investment Identifier (Ull):** 009-000267389

#### Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

This Exhibit 300 represents a summary of the current IT investments undertaken by HHS and Treasury to implement the provisions of the Patient Protection and Affordable Care Act (ACA). It includes the CMS Center for Consumer Information and Insurance Oversight (CCIIO) Healthcare Insurance Exchange (HIX) investment and a set of major and non-major IT Investments managed by Treasury and IRS that provide support for ACA. HIX provides a platform for organizing the health insurance marketplace to help consumers and small businesses shop for coverage in a way that permits easy comparison of available plan options based on price, benefits and services, and quality. HIX fulfills key provisions of the ACA and aligns with HHS Strategic Goal: Transform Health Care. HIX IT activities are organized around two key systems: the Exchanges and Data Services Hub. Exchange Systems support the core business functions of an Exchange including administration, health plan management, eligibility and enrollment, risk adjustment, premium tax credit administration, program integrity, and portal for customers. The Data Services Hub acts as a broker of information and will facilitate accessing and management of a complex set of data from a variety of sources, including multiple Federal agencies and Medicaid systems. The IT investment also includes activities aimed at coordinating integration with state exchanges. This IT investment and its associated projects are in the early stages of HHS Enterprise

Performance Lifecycle methodology. Additional detail will be reported in future versions of this budget exhibit.

**2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

This investment closes the gap by providing consumers with competitive, consumer-centered health insurance marketplaces to compare and buy insurance, increasing their control and choice. Without funding, investments like PCIP, ERRP and Healcare.Gov Plan Finder will not be able to transition their functionalities into the Affordable Insurance Exchange Investment for continued healthcare coverage for consumers.

**3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**

In PY 2011, this investment completed state early innovators architectural reviews, conducted Investment Selection Review, completed and awarded Federal Exchange and Data Services Hub Statement of Work.

**4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

For CY 2012, this Investment will complete requirements for Federal Exchange, architectural review, development of the Federal Exchange system and Data Services Hub. BY 2013 activities will focus on operational readiness reviews, completing Exchange interfaces, implementation of the Federal Exchange and Data Services Hub.

**5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2010-09-24

## Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

|  | PY-1<br>&<br>Prior | PY<br>2011 | CY<br>2012 | BY<br>2013 |
|--|--------------------|------------|------------|------------|
| Planning Costs:  | \$0.0              | \$133.6    | \$0.0      | \$0.0      |
| DME (Excluding Planning) Costs:                            | \$0.0              | \$0.0      | \$56.9     | \$427.1    |
| DME (Including Planning) Govt. FTEs:                       | \$0.0              | \$0.0      | \$0.0      | \$0.0      |
| Sub-Total DME (Including Govt. FTE):                       | 0                  | \$133.6    | \$56.9     | \$427.1    |
| O & M Costs:   | \$0.0              | \$0.0      | \$0.0      | \$0.0      |
| O & M Govt. FTEs:  | \$0.0              | \$0.0      | \$0.0      | \$0.0      |
| Sub-Total O & M Costs (Including Govt. FTE):               | 0                  | 0          | 0          | 0          |
| Total Cost (Including Govt. FTE):                          | 0                  | \$133.6    | \$56.9     | \$427.1    |
| Total Govt. FTE costs:                                     | 0                  | 0          | 0          | 0          |
| # of FTE rep by costs:                                     | 0                  | 0          | 0          | 0          |
|  |                    |            |            |            |
| Total change from prior year final President's Budget (\$) |                    | \$0.0      | \$-98.4    |            |
| Total change from prior year final President's Budget (%)  |                    | 0.00%      | -63.37%    |            |

**2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:**

Due to new legislation this is not captured in the FY2012 President's Budget.

## Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

| Contract Type | EVM Required | Contracting Agency ID             | Procurement Instrument Identifier (PIID) | Indefinite Delivery Vehicle (IDV) Reference ID | IDV Agency ID | Solicitation ID | Ultimate Contract Value (\$M) | Type | PBSA ? | Effective Date | Actual or Expected End Date |
|---------------|--------------|-----------------------------------|--|--|---------------|-----------------|-------------------------------|------|--------|----------------|-----------------------------|
| Awarded       |              | <a href="#">HHSM500200700015I</a> |  |  |               |                 |                               |      |        |                |                             |
| Awarded       |              | <a href="#">HHSM500200700024I</a> |  |  |               |                 |                               |      |        |                |                             |

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

## Exhibit 300B: Performance Measurement Report

### Section A: General Information

**Date of Last Change to Activities:** 2012-03-01

### Section B: Project Execution Data

**Table II.B.1 Projects**

| Project ID | Project Name  | Project Description   | Project Start Date | Project Completion Date | Project Lifecycle Cost (\$M) |
|------------|---|---|--------------------|-------------------------|------------------------------|
| 289677     | CMS CCIIO Healthcare Insurance Exchange Federal Exchange Program System | This project will develop Federal Exchange that serves the needs of citizens within states where those states do not have their own state-run exchange. Since states may elect to establish their own state-run exchanges or portions thereof, this task order will permit future modifications to encompass state's needs that are unknown at this time. |                    |                         |                              |
| 289678     | CMS CCIIO Healthcare Insurance Exchange Data Services Hub               | This project will develop and implement a data services hub which will provide common services and interfaces to federal agency information.  |                    |                         |                              |
| 307575     | HHS ACA Consolidated IRS Projects                                       | This project represents the activities required to modify the following IRS investments: MITS Management - PAC 9Z (UII 015-000000128); MITS Executive Oversight - PAC 9Q (UII 015-000000141); IT Security - FISMA (UII 015-000000240); ISY Support for Business Programs (UII 015-000000122); IRS.GOV   |                    |                         |                              |

Table II.B.1 Projects

| Project ID | Project Name | Project Description  | Project Start Date | Project Completion Date | Project Lifecycle Cost (\$M) |
|------------|--------------|--|--------------------|-------------------------|------------------------------|
|            |              | (UII 015-000000056); IRS Telecommunications Systems and Support (TSS) UII 015-000000348, IRS Main Frames and Servers Services and Support (MSSS) (UII 015-000000350); IRS End User Systems and Services (EUSS) (UII 015-000000349); Integrated Submission and Remittance Processing System (ISRP) (UII 015-000000019); Excise Files Information Retrieval Systems (ExFIRS) (UII 015-000000031); Enterprise Services - PAC 9U (UII 015-000000183); e-Services (e-SVS) (UII 015-000000012); Customer Account Data Engine 2 (CADE 2) (UII 015-000000051); Compliance Data Environment (CDE) (UII 015-000000090); Combined Annual Wage Reporting Federal Unemployment Tax Act (CAWR FUTA) (UII 015-000000094); Business Master File (BMF) (UII 015-000000006); Applications Development Program Support (ADPS) (formerly Business Systems Development Program Support) (UII 015-000000089) to support implementation of the Affordable Care Act. |                    |                         |                              |

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

| Project ID | Name                           | Total Cost of Project Activities (\$M) | End Point Schedule Variance (in days) | End Point Schedule Variance (%) | Cost Variance (\$M ) | Cost Variance (%) | Total Planned Cost (\$M) | Count of Activities |
|------------|--------------------------------|--|---------------------------------------|---------------------------------|----------------------|-------------------|--------------------------|---------------------|
| 289677     | CMS CCIIO Healthcare Insurance |  |                                       |                                 |                      |                   |                          |                     |

## Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

| Project ID | Name  | Total Cost of Project Activities (\$M) | End Point Schedule Variance (in days) | End Point Schedule Variance (%) | Cost Variance (\$M ) | Cost Variance (%) | Total Planned Cost (\$M) | Count of Activities |
|------------|---|--|---------------------------------------|---------------------------------|----------------------|-------------------|--------------------------|---------------------|
|            | Exchange Federal Exchange Program System                  |  |                                       |                                 |                      |                   |                          |                     |
| 289678     | CMS CCIIO Healthcare Insurance Exchange Data Services Hub |  |                                       |                                 |                      |                   |                          |                     |
| 307575     | HHS ACA Consolidated IRS Projects                         |  |                                       |                                 |                      |                   |                          |                     |

## Key Deliverables

| Project Name | Activity Name | Description | Planned Completion Date | Projected Completion Date | Actual Completion Date | Duration (in days) | Schedule Variance (in days ) | Schedule Variance (%) |
|--------------|---------------|-------------|-------------------------|---------------------------|------------------------|--------------------|------------------------------|-----------------------|
|--------------|---------------|-------------|-------------------------|---------------------------|------------------------|--------------------|------------------------------|-----------------------|

NONE



Section C: Operational Data

| Table II.C.1 Performance Metrics |                 |  |                       |          |               |               |               |                     |
|----------------------------------|-----------------|--|-----------------------|----------|---------------|---------------|---------------|---------------------|
| Metric Description               | Unit of Measure | FEA Performance Measurement Category Mapping | Measurement Condition | Baseline | Target for PY | Actual for PY | Target for CY | Reporting Frequency |

NONE